## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.		42P16617	
First Inventor	Alok	Tripathi et al	

Title APPARATUS, SYSTEM AND METHOD FOR RECEIVER EQUALIZATION Express Mail Label No. EV325525665US

APPLICATION ELEMENTS	Mail Stop Patent Application Commissioner for Patents								
See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: P.O. Box 1450  Alexandria, VA 22313-1450								
Fee Transmittal Form (e.g., PTO/SB/17)     (Submit an original and a duplicate for fee processing)	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)								
2. Applicant claims small entity status. See 37 CFR 1.27.	Nucleotide and/or Amino Acid Sequence Submission     (if applicable, all of the following are necessary)								
Specification [Total Pages 24.]  (preferred arrangement set forth below)  - Descriptive title of the Invention  - Cross References to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix	<ul> <li>a.</li></ul>								
Background of the Invention     Brief Summary of the Invention									
- Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4. ☑ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]  5. Oath or Declaration [Total Pages _] a. ☐ Newly executed (original or copy) b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed)	9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R. § 3.73(b) Statement Power of Attorney (when there is an assignee)  11. English Translation Document (if applicable)  12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449  13. Preliminary Amendment Application Amended to Reflect Claim of Priority  14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).								
Signed statement attached deleting inventor(s)	Applicant must attach form PTO/SB/35 or its equivalent.								
1.63(d)(2) and 1.33(b). 6.  Application Data Sheet. See 37 CFR 1.76	17. Other: - check in the amount of \$966.00								
18. If a CONTINUING APPLICATION, check appropriate box, and suj	pply the requisite information below and in a preliminary amendment,								
or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Divisional  Continuation-in-pa	art (CIP) of prior application No:								
Prior application Information: Examiner	Group/Art Unit:								
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
18. CORRESPO	ONDENCE ADDRESS								
	or Correspondence address below								
Name									
Address									
City Sta									
Country Telephone	(503) 684-6200 Fax (503) 684-3245								
Name (Print/Type) Gregory D. Caldwell	Registration No. (Attorney/Agent) 39,926								

FEE TRANSMITTAL	T	Complete if Known								
			Application Number						*	
for FY 2003	-	Filing Date								
Effective 01/01/2003. Patent fees are subject to annual revision.		First Named Inventor Alok Tripath					· · · · · ·			
Applicant claims small entity status. See 37 CFR 1	.27.	<u>-</u>	Examiner Name							
TOTAL AMOUNT OF PAYMENT (\$)	966.0	^^	Group/Art Unit Attorney Docket No. 42P16617					· · · · · · · · · · · · · · · · · · ·		
			Attorney Docket No. 42P16617							
METHOD OF PAYMENT (check one)	1	FEE CALCULATION (continued)								
Check ☐ Credit card ☐ Money ☐ Other ☐	None	3. ADDITIONAL FEES								
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ Other ☐ Deposit Account	Larg	Large Entity   Small Entity						÷ .		
		Fee	Fee	Fee	Fee				*	
Deposit Account 02-2666		Code	(\$)	Code	(\$)	Fee	e Description		Fee Paid	
Number 32 2000			130 50	2051 65 2052 25		Surcharge - late filing fee or oath Surcharge - late provisional filing fee or				
Account Account Blakely, Sokoloff, Taylor & Zafman LL	Р	1052		25		cover sheet.			ł	
Name		2053 1812	130 2,520	2053 1812	130 2,520	Non-English specification For filing a request for	•	astion		
The Commissioner is authorized to: ( check all that apply)		1804	920*	1804		Requesting publication		lation		
Charge fee(s) indicated below Credit any overpayments	-4100		*			Examiner action				
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 a	nd 1,20.	1805	1,840*	1805	1,840 *	Requesting publication	on of SIR after			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account		1251	110	2251	55	Extension for reply with	thin first month	• • •	<del></del>	
FEE CALCULATION		1252	410	2252	205	Extension for reply with	thin second month			
1. BASIC FILING FEE		1253	930	2253	465	Extension for reply wit	thin third month			
Large Entity Small Entity		1254	1,450	2254	725	Extension for reply with	thin fourth month	•	<u> </u>	
Fee Fee Fee Fee <u>Fee Description</u> Fe Code (\$) Code (\$)	e Paid	1255	1,970	2255	985	Extension for reply wit	thin fifth month	*		
1001 750 2001 375 Utility filing fee	750.00	1404	320	2401	160	Notice of Appeal		•		
1002 330 2002 165 Design filing fee	00.00	1402	320.	2402	160	Filing a brief in suppo	•			
1003 520 2003 260 Plant filing fee		1403	280	2403	140	Request for oral hear				
1004 750 2004 375 Reissue filing fee		1451 1452	1,510 110	2451 2452	1,510 55		etition to institute a public use proceeding			
1005 160 2005 80 Provisional filing fee		1453	1,300	2453	650	•	n to revive - unintentional			
SUBTOTAL (1) (\$) 7	750.00	1501	1,300	2501	650	Jtility issue fee (or reissue)				
2. EXTRA CLAIM FEES Extra Fee from		1502	470	2502	235	Design issue fee				
. Claims below Fe	e Paid	1503	630	2503	315	Plant issue fee				
Independent	216.00	1460	130	2460	130	Petitions to the Comm	nissioner			
Claims 3 3 0 X 84.00 =	\$0.00	1807	50	1807	50	Prosessing fee under	37 CFR 1.17(q)			
Multiple Dependent =		1806	180	1806	180	Submission of Informa		mt .		
Large Entity Small Entity  Fee Fee Fee Fee Fee Description		8021	40	8021	40	Recording each pater property (times number		•	1	
Fee Fee Fee Fee Fee Description Code (\$)		1809	750	1809		Filing a submission aft	ter final rejection	•		
1202 18 2202 9 Claims in excess of 20	l	4040	· · · · ·	0010		(37 CFR § 1.129(a))	37 CFR § 1.129(a))			
1201 84 2201 42 Independent claims in excess of 3		1810	750	2810		For each additional invexamined (37 CFR § 1				
1203 280 2203 140 Multiple Dependent claim, if not paid 1204 84 2204 42 "Reissue independent claims over origin	al I	1801	750	2801	375	Request for Continued	Examination (RC	E)		
patent patent		1802	900	1802		Request for expedited examination				
1205 18 2205 9 **Reissue claims in excess of 20 and over	er	Other fe	ee (specify)			of a design application				
	16.00									
**or number previously paid, it greater, For Reissues, see below	10.00	*Reduced by Basic Fling Fee Paid			<b>)</b> :	SUBTOTAL (3) (\$)				
Name (Print/Type) Gregory D. Caldwell ///		Registration No. (Attorney/Agent) 39.926 Telephone (503) 684								
Name (Print/Type) Gregory D. Caldwell	////	(A	ttomey/Agen	it)		9,926	Telephone			
Signature	////	•					D-4 1	07/0	2/03	